



Large Trader Identification Form

Date ____ / ____ / ____

Please complete this form if you have registered as a large trader with the SEC and have received a large trader ID applicable to any accounts through which Goldman Sachs Execution & Clearing, L.P. ("GSEC") may execute or clear transactions for you or otherwise custody positions in NMS securities.

If you are unsure of your account number(s), please provide as much information as you can about the name on your account, Client ID or other identifying information. Completed forms should be returned to your Goldman Sachs representative(s).

Client Name _____

(If the GSEC account is for a fund or other discretionary or advised account, please include adviser name above and applicable account details below)

1. Do you maintain any prime brokerage or clearing accounts with GSEC?

Yes No *(circle applicable choice)*

If you circled "yes" please complete the below with respect to your GSEC prime brokerage or clearing account(s). Attach a companion spreadsheet with data attributes listed below if more room is needed.

Large Trader ID (with suffix, if any)	Legal Entity Name	Account No / Client ID
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

2. Do you maintain any other accounts with GSEC?

Yes No *(circle applicable choice)*

If you circled "yes" please complete the below with respect to your other GSEC account(s). Attach a companion spreadsheet with data attributes listed below if more room is needed.

Large Trader ID (with suffix, if any)	Legal Entity Name	Account No / Client ID
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Contact person at Large Trader with questions regarding the information provided on this form

Name: _____

Email: _____

Phone: _____

If you have any questions regarding the completion of this form please contact your Goldman Sachs representative.